

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/027,205 02/20/98 JUNE C GIN-005

000959 0292/0319 NOT ASSIGNED  
LANHIVE & COCKFIELD

09/24/1998 ASELMAN 00000022 09027205  
BOSTON MA 02109

01 FC:105	130.00 OP
02 FC:101	790.00 OP
03 FC:103	748.00 OP
04 FC:102	164.00 OP

1642  
DATE MAILED: 03/19/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1032.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 012, including any multiple dependent claim fees, are required.

\$ 1104.00 for 2 independent claims over 3.

\$ 148 for 34 dependent claims over 20.

\$          for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D. Durham  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



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CYNTHIA M. SOROOS

September 17, 1998

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/027,205  
Entitled: METHODS FOR MODULATING EXPRESSION OF AN  
HIV-1 FUSION COFACTOR  
Inventors: Carl H. June, Richard G. Carroll, James L. Riley and  
Daniel C. St. Louis  
Our Reference: GIN-005

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application;
2. Request for Four-Month Extension of Time;
3. Four Executed Declarations, Petitions and Power of Attorneys;
4. Copy of Form PTO-1533; and
5. Checks for \$1832.00 (missing parts) and \$1,510.00 (extension).

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington DC 20231 on:

9/17/98  
Date

Amy E. Mandragouras, Reg. No. 36,207

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Amy E. Mandragouras  
Reg. No. 36,207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Carl H. June et al.

Serial No.: 09/027,205

Filed: February 20, 1998

For: *METHODS FOR MODULATING EXPRESSION  
OF AN HIV-1 FUSION COFACTOR*

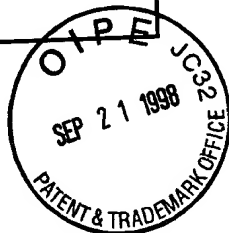
Attorney Docket No.: GIN-005

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Box Missing Parts

Group Art Unit: 1642

Examiner:

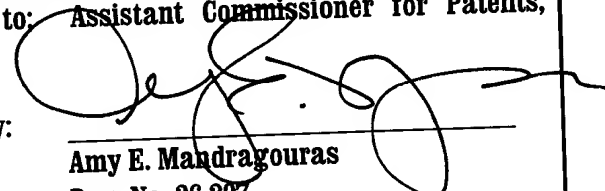


**Certificate of First Class Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

9/17/98  
Date of Signature and of Mail Deposit

By:

  
Amy E. Mandragouras  
Reg. No. 36,207  
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

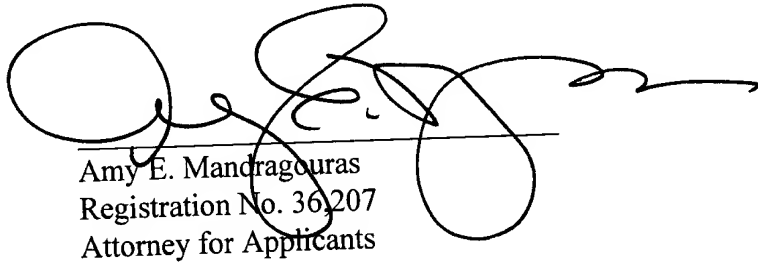
Dear Sir:

Responsive to the Notice to File Missing Parts of Application dated March 19, 1998, Applicant's attorney submits herewith the executed Declaration, Petition and Power of Attorney for Patent Application for the above-identified patent application. A check in the amount of **\$1832.00** is enclosed to cover the total amount owed by Applicant including \$130.00 surcharge, \$790.00 basic filing fee, and \$912.00 additional claim fee based on large entity status. A copy of Form PTO-1533 is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,



Amy E. Mandragouras  
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Dated: September 17, 1998